## Paid Family Leave Form

### Bond with a newborn, a newly adopted or fostered child

- **Complete Form PFL-1**
  - Employee completes PFL-1, Part A.
  - Employee provides PFL-1 to employer.
  - Employer completes PFL-1, Part B.

- **Complete Form PFL-2**
  - Employee completes PFL-2 and collects supporting documentation.

- **Send forms and documents**
  - Employee sends completed forms and supporting documentation to employer.
  - Employer sends completed forms and supporting documentation to Plan Administrator within 3 days by electronic mail at 1199pfl@alicare.com or by facsimile at (914) 367-5374.
  - Plan Administrator accepts or denies claim within 18 days.

### Care for a family member with a serious health condition

- **Complete Form PFL-1**
  - Employee completes PFL-1, Part A.
  - Employee provides PFL-1 to employer.
  - Employer completes PFL-1, Part B.

- **Complete Form PFL-3**
  - Care recipient or authorized patient representative completes PFL-3 and provides to care recipient’s healthcare provider.
  - Care recipient’s healthcare provider keeps PFL-3.

- **Complete Form PFL-4**
  - Employee completes “Employee” information at the top of PFL-4.
  - Employee provides PFL-4 to care recipient’s healthcare provider.
  - Care recipient’s healthcare provider completes PFL-4 and returns to employee.

- **Send forms and documents**
  - Employee sends completed forms and supporting documentation to employer.
  - Employer sends completed forms and supporting documentation to Plan Administrator within 3 days by electronic mail at 1199pfl@alicare.com or by facsimile at (914) 367-5374.
  - Plan Administrator accepts or denies claim within 18 days.

### Assist family members due to another family member’s active military duty or impending active duty abroad

- **Complete Form PFL-1**
  - Employee completes PFL-1, Part A.
  - Employee provides PFL-1 to employer.
  - Employer completes PFL-1, Part B.

- **Complete Form PFL-5**
  - Employee completes PFL-5 and collects supporting documentation.

- **Send forms and documents**
  - Employee sends completed forms and supporting documentation to employer.
  - Employer sends completed forms and supporting documentation to Plan Administrator within 3 days by electronic mail at 1199pfl@alicare.com or by facsimile at (914) 367-5374.
  - Plan Administrator accepts or denies claim within 18 days.

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Please keep a copy of all pages for your records.
Request for Paid Family Leave (Form PFL-1) Instructions

• To request PFL, the employee requesting PFL must complete Part A of the Request for Paid Family Leave (Form PFL-1). All items on the form are required unless noted as optional. The employee then provides the form to the employer to complete Part B.

• The employer completes Part B of the Request for Paid Family Leave (Form PFL-1) and returns it to the Plan Administrator within three days.

• Additional forms are required depending on the type of leave being requested. The employee requesting leave is responsible for the completion of these forms.

• The employee submits the completed Request for Paid Family Leave (Form PFL-1) with the required additional form and supporting documentation to the employer. The employee should retain a copy of each submitted form and supporting document for his or her records.

PART A - EMPLOYEE INFORMATION (to be completed by the employee)

The employee requesting PFL must complete all required information.

<table>
<thead>
<tr>
<th>Paid Family Leave (PFL) Request (to be completed by the employee)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Question 12: A “Child” is defined as a biological, adopted,</td>
</tr>
<tr>
<td>or foster son or daughter, a stepson or stepdaughter, a legal</td>
</tr>
<tr>
<td>ward, a son or daughter of a domestic partner, or the person</td>
</tr>
<tr>
<td>to whom the employee stands in loco parentis. A “Parent” is</td>
</tr>
<tr>
<td>defined as a biological, foster or adopted parent, parent-in-law,</td>
</tr>
<tr>
<td>a stepparent, a legal guardian or other person who stood in</td>
</tr>
<tr>
<td>loco parentis to the employee when the employee was a child.</td>
</tr>
</tbody>
</table>

Question 13: If dates are “Continuous,” the employee must provide the start and end dates of the requested PFL. These dates should be the actual dates that the PFL will begin and end. If uncertain, estimate the start and end dates and indicate “Dates are estimated.” If dates are “Periodic,” enter the dates PFL will be taken. Please be as specific as possible. If the dates are unknown or estimated, indicate “Dates are estimated.”

If dates are estimated, the Plan Administrator may require you to submit a request for payment after the PFL day is taken. Payment for approval claims will be due as soon as possible but in no event more than 18 days from the date of the completed request.

Question 14: If the employee is submitting the PFL request to his or her employer with less than 30 days’ advance notice from the start date of the PFL, the employee must explain why 30 days’ notice could not be given. If the explanation will not fit in the space provided on the form, enter “See Attached” and add an attachment with the explanation. Be sure to include the employee’s full name and his or her date of birth at the top of the attachment.

Employment Information (to be completed by the employee)

<table>
<thead>
<tr>
<th>Employment Information (to be completed by the employee)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Question 16: Enter the date of hire to the best of the employee’s recollection. If it has been more than a year since the date of hire, entering the year in which employment started is sufficient.</td>
</tr>
<tr>
<td>Question 18: Enter the best estimate of average gross weekly wage. Include only the wages earned from the employer listed on this request form. The gross weekly wage is the total weekly pay — including overtime, tips, bonuses and commissions — before any deductions are made by the employer, such as federal and state taxes. If the employer is not able to supply this information, the employee can calculate his or her gross weekly wage as follows:</td>
</tr>
</tbody>
</table>

**Example of a gross weekly wage calculation:**

| Step 1: Add all gross wages received (before any deductions) over the last eight weeks prior to the start of PFL, including overtime and tips earned. (See Step 3 for instructions for calculating bonuses and/or commissions.) |
| Step 2: Divide the gross wages calculated in Step 1 by eight (or the number of weeks worked if less than eight) to calculate the average weekly wage. |
| Step 3: If the employee received bonuses and/or commissions during the 52 weeks preceding PFL, add the prorated weekly amount to the average weekly wage calculated in Step 2. To determine the prorated weekly amount, add all bonuses/commissions earned in the preceding 52 weeks and then divide by 52. |

Please note that the employer is also required to provide this information in Part B of the Request for Paid Family Leave (Form PFL-1).

Form PFL-1 Instructions continued on next page
The 1199SEIU National Benefit Fund does not accept pre-submission of claims. Pre-submitting is defined as submitting the application in advance of an upcoming qualifying event, with certain required information missing due to the information being unknown at the time of the submitting. The Plan Administrator will return pre-submitted Requests for Paid Family Leave within five days to the employee with an explanation that the claim should be resubmitted when all information is available.

Employee signs and dates, before giving this form to his or her employer to complete Part B.

**PART B - EMPLOYER INFORMATION (to be completed by the employer)**

The employer of the employee requesting PFL must complete all information in Part B.

**Question 2:** If a Social Security Number is used for the Federal Employer Identification Number (FEIN), enter the Social Security Number.

**Question 3:** Enter the employer’s Standard Industrial Classification (SIC) Code. Contact your Plan Administrator if you don’t know your SIC code.

**Question 8:** The employee occupation code can be found at: www.bls.gov/soc/2010/soc_alph.htm

**Question 9:** Enter the wages earned by the employee during the last eight weeks preceding the PFL start date. The gross amount paid is the employee's gross weekly pay, including any overtime and tips earned for that week, plus the weekly prorated amount of any bonus or commission received during the preceding 52 weeks. (For detailed steps, see Question 18 on page 1 of the instructions.) Calculate the gross average weekly wage by adding up the gross amounts paid, and then divide by eight (or number of weeks worked if less than eight).

**Question 10:** "NYS Disability" refers to NYS statutory-required disability. If the answer to this question is "None," then enter a “0” for total numbers of “Weeks” and “Days” in Question 10a.

**Question 10a:** The maximum number of weeks available for NYS statutory disability and PFL in any 52-week period is 26 weeks. Specify the total number of “Weeks,” as well as the number of additional “Days” if the leave includes a partial week, taken for NYS statutory disability and PFL during the preceding 52 weeks.

**Questions 12 & 13:** Enter the Paid Family Leave or Disability/PFL Plan Administrator’s name, address and PFL telephone number. If this employer is self-insured, enter the name and address of where the PFL request should be submitted for processing.

**Affirmation employee is eligible for PFL:** An employee must have been in employment for at least 26 consecutive weeks.

Employer signs and dates. Submit completed forms and supporting documentation to the Plan Administrator within three days by electronic mail to 1199pfl@alicare.com or by facsimile to (914)367-5374.

Be sure to complete the appropriate additional PFL form(s) based on the type of PFL leave being requested.
### PART A - EMPLOYEE INFORMATION (to be completed by the employee)

1. **Employee's legal name** (first name, middle initial, last name)

2. **Other last names, if any, under which employee has worked**

3. **Employee's mailing address**
   - Street address
   - City, State
   - Zip code
   - Country (if not U.S.A.)

4a. **Employee's Social Security Number or Taxpayer Identification Number (TIN)**

4b. **Employee's 1199SEIU Health Benefits ID card number**

5. **Employee's date of birth** (MM/DD/YYYY)

6. **Employee's primary telephone number**
   - ( )
   - -

7. **Employee's preferred email address while on PFL** (if available)

8. **Employee's gender**
   - Male
   - Female
   - Not designated/Other

9. **Employee's preferred language**
   - English
   - Español
   - Polski
   - русский
   - 한국어
   - 中文
   - Italiano
   - Kreyòl Ayisyen
   - Other (specify): [ ]

10. **Employee’s ethnicity/race**
    - For purposes of health demographics only. (U.S. Centers for Disease Control and Prevention (CDC) code set, version 1.0.)
    - What is employee’s race?
      - (One or more categories may be selected.)
        - American Indian or Alaska Native
        - Black or African American
        - Asian Indian
        - Chinese
        - Filipino
        - Japanese
        - Korean
        - Vietnamese
        - Other Asian
        - White
        - Native Hawaiian
        - Guamanian or Chamorro
        - Samoan
        - Other Pacific Islander
        - Other race

11. **Reason for PFL request:**
    - Bond with child
    - Care for family member
    - Military qualifying event

12. **The family member is employee's:**
    - Child
    - Spouse
    - Domestic partner
    - Parent
    - Parent-in-law
    - Grandparent
    - Grandchild

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Optional (for research purposes)

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Form PFL-1 continued on next page
TO BE COMPLETED BY THE EMPLOYEE

Employee's name (first name, middle initial, last name)

Employee’s date of birth (MM/DD/YYYY)

PART A - EMPLOYEE INFORMATION (to be completed by the employee) - continued from prior page

13. Will PFL be for a continuous period of time and/or periodic?

- Continuous
  - PFL start date (MM/DD/YYYY) ____________________________
  - PFL end date (MM/DD/YYYY) ____________________________
  - Dates are estimated

- Periodic
  - Identify start and end date that periodic PFL will be taken
  - Dates are estimated

14. If providing less than 30 days' advance notice to the employer, please explain:

_________________________________________________________________________________________________________________

Employment Information (to be completed by the employee)

15. Business name

____________________________________________________________________________________________________________________

16. Employee's date of hire (MM/DD/YYYY)

   ____________________________

17. Employee’s work location

   Street address

   City, State Zip code Country (if not U.S.A.)

18. Employee’s average gross weekly wage (this data will be requested of both employee and employer)

   ______________________________________

19. Employer’s telephone number for contact regarding this request

   ( ) - ____________________________

20. Does employee have more than one employer?

   - Yes
   - No

20a. If “Yes,” is employee taking PFL from the other employer?

   - Yes
   - No

20b. Is employee currently receiving Workers’ Compensation Lost Wage Benefits?

   - Yes
   - No

20c. Name and address of other employer (if applicable)

   ______________________________________________________________________________________

Disclosure statement: Information regarding PFL benefits received by the employee, such as payments received and types of leave, will be provided to the employer.

Declaration and signature

Any person who knowingly and with intent to defraud any insurance company or other person files an application for insurance or statement of claim containing any materially false information, or conceals for the purpose of misleading, information concerning any fact material thereto, commits a fraudulent insurance act, which is a crime, and shall also be subject to a civil penalty not to exceed five thousand dollars and the stated value of the claim for each such violation.

I am hereby making a request for Paid Family Leave benefits under the NYS Workers’ Compensation Law. My signature affirms that the information I am providing is true and accurate to the best of my knowledge and belief.

Employee's signature

___________________________________________________________________________________

Date signed (MM/DD/YYYY)

__________________________

Page 2 of 4  If you need assistance, please call (646) 473-9200.
**PART B - EMPLOYER INFORMATION** (to be completed by the employer)

1. Business’ full legal name and mailing address
   - Business name
   - Street address
   - City, State
   - Zip code
   - Country (if not U.S.A.)

2. Employer’s Federal Employer Identification Number (FEIN)

3. Employer’s Standard Industrial Classification (SIC) Code

4. Employer’s contact name for questions related to PFL

5. Employer’s contact telephone number
   - ( ) -

6. Employer’s contact email address

7. Employee’s date of hire (MM/DD/YYYY)

8. Employee’s occupation (Codes are available at: www.bls.gov/soc/2010/soc_alph.htm)

9. Enter the last 8 weeks of gross wages for the employee and calculate the average gross weekly wage:

<table>
<thead>
<tr>
<th>Week no.</th>
<th>Week ending date (MM/DD/YYYY)</th>
<th>Number of days</th>
<th>Gross amount paid</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>2</td>
<td></td>
<td></td>
<td></td>
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<td>7</td>
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<td></td>
</tr>
<tr>
<td>8</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

   Calculated average gross weekly wage:

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*Form PFL-1 continued on next page*
10. In the preceding 52 weeks, has the employee taken leave for:
- [ ] NYS Disability
- [ ] PFL
- [ ] Both Disability and PFL
- [ ] None

10a. Enter the total number of weeks and days taken for both Disability and PFL in the last 52 weeks:

<table>
<thead>
<tr>
<th>Disability:</th>
<th>Weeks</th>
<th>Days</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>PFL:</th>
<th>Weeks</th>
<th>Days</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

Please provide specific dates for Disability:

Please provide specific dates for PFL:

11. Is the employee taking Family Medical Leave Act (FMLA) concurrently with PFL?  
- [ ] Yes  
- [ ] No

12. PFL Plan Administrator’s name and mailing address

**1199SEIU National Benefit Fund for Health and Human Service Employees**

<table>
<thead>
<tr>
<th>Street address</th>
<th>City, State</th>
<th>Zip code</th>
<th>Country (if not U.S.A.)</th>
</tr>
</thead>
<tbody>
<tr>
<td>330 West 42nd Street</td>
<td>New York, NY</td>
<td>10036</td>
<td></td>
</tr>
</tbody>
</table>

13. PFL Plan Administrator’s telephone number  
(646) 473-9200

Declaration and signature

☐ I affirm the employee is a Wage Class I, II or III employee who is enrolled in the 1199SEIU National Benefit Fund and has been in employment for at least 26 consecutive weeks.

Any person who knowingly and with intent to defraud any insurance company or other person files an application for insurance or statement of claim containing any materially false information, or conceals for the purpose of misleading, information concerning any fact material thereto, commits a fraudulent insurance act, which is a crime, and shall also be subject to a civil penalty not to exceed five thousand dollars and the stated value of the claim for each such violation.

I am the person authorized to sign as the employer of the employee requesting Paid Family Leave benefits under the NYS Workers’ Compensation Law. My signature affirms that to the best of my knowledge and belief, the information I have provided is true and accurate.

Employer’s authorized signature

Date signed (MM/DD/YYYY)

Title
If the employee is requesting PFL to bond with a newborn, an adopted child or a foster child, the employee must submit the Bonding Certification (Form PFL-2) with the Request for Paid Family Leave (Form PFL-1).

**Bonding Form/Certification**  | **Description**  
---|---  
Healthcare provider certification of pregnancy | An original letter obtained from the birth mother’s healthcare provider that certifies pregnancy. It should include the mother’s name and the expected due date.  
Healthcare provider certification of birth | An original letter obtained from the birth mother’s healthcare provider that includes the mother’s name and child’s date of birth.  
Birth Certificate | A copy of the certificate issued by the city or county office in which the child is born.  
Voluntary Acknowledgment of Paternity (Form LDSS-4418) | A copy of the form that establishes legal fatherhood when the parents are unmarried. Completed by both mother and father. For more information, see childsupport.ny.gov/dcse/aop_howto.html  
Court Order of Filiation | A copy of the order from the family court that names the father of a child. Establishes legal fatherhood when the parents are unmarried. Completed by both mother and father. For more information, visit childsupport.ny.gov/dcse/aop_howto.html  
Marriage Certificate | A copy of the official statement issued by the town or city clerk from which the marriage certificate was issued.  
Civil union/domestic partnership documentation | A copy of the certificate of civil union or domestic partnership.  
Foster care placement letter | A copy of the letter of foster care placement issued by the county or city department of social services or authorized voluntary foster care agency.  
Court documents of adoption | A copy of the court document finalizing adoption or documentation in furtherance of adoption or court order finalizing adoption.  
Other documentation | Other documentation of parental relationship may be accepted if none of the above listed apply.

Notification Pursuant to the New York Personal Privacy Protection Law (Public Officers Law Article 6-A) and the Federal Privacy Act of 1974 (5 USC 552a).

The Workers’ Compensation Board’s (Board’s) authority to request that employees provide personal information, including their social security number or taxpayer identification number, is derived from the Board’s administrative authority under Workers’ Compensation Law section 142. This information is collected to assist the Board in investigating and administering claims in the most expedient manner possible and to help it maintain accurate records. Providing your social security number or taxpayer identification number to the Board is voluntary. The Board will protect the confidentiality of all personal information in its possession, disclosing it only in furtherance of its official duties and in accordance with applicable state and federal law.
## PART A - EMPLOYEE INFORMATION

### 1. Employee's legal name (first name, middle initial, last name)

### 2. Other last names, if any, under which employee has worked

### 3. Employee's mailing address

- Street address
- City, State
- Zip code
- Country (if not U.S.A.)

### 4a. Employee's Social Security Number or Taxpayer Identification Number (TIN)

### 4b. Employee alternative identification number

### 5. Employee's date of birth (MM/DD/YYYY)

### 6. Employee's primary telephone number

### 7. Employee's preferred email address while on PFL (if available)

### 8. Employee's gender

- Male
- Female
- Not designated/Other

### 9. Employee's preferred language

- English
- Español
- Русский
- Polski
- 中文
- Italiano
- Kreyòl ayisyen
- 한국어

### 10. Employee's ethnicity/race

For purposes of health demographics only. (U.S. Centers for Disease Control and Prevention (CDC) code set, version 1.0.)

- American Indian or Alaska Native
- Black or African American
- Asian Indian
- Chinese
- Filipino
- Japanese
- Korean
- Vietnamese
- Other Asian
- White
- Native Hawaiian
- Guamanian or Chamorro
- Samoan
- Other Pacific Islander
- Other race

Is employee of Hispanic, Latino/a, or Spanish origin? (One or more categories may be selected.)

- Mexican
- Mexican American
- Chicano/a
- Puerto Rican
- Dominican
- Cuban
- Another Hispanic, Latino/a, or Spanish origin
- Not of Hispanic, Latino/a, or Spanish origin
- Unknown

### 11. Reason for PFL request:

- Bond with child
- Care for family member
- Military qualifying event

### 12. The family member is employee's:

- Child
- Spouse
- Domestic partner
- Parent
- Parent-in-law
- Grandparent
- Grandchild

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# BONDING CERTIFICATION (to be completed by the employee)

### 1. Child's date of birth (MM/DD/YYYY)

### 2. Child's gender

- Male
- Female
- Not designated/Other

### 3. Does child live with the employee requesting PFL?

- Yes
- No

### 4. Child is employee's:

- Biological child
- Stepchild
- Foster child
- Adopted child
- Legal ward
- Spouse/Domestic partner's child
- In loco parentis

### 5. Select one of the following and attach the document as required as evidence of the relationship.

**Parent of newborn child:**

- Healthcare provider certification of pregnancy (include expected due date AND mother’s name); OR
- Healthcare provider certification of birth (include date of birth of child AND mother’s name); OR
- Child’s birth certificate

**Other parent:**

- Copy of birth certificate naming second parent; OR
- Copy of Voluntary Acknowledgment of Paternity (Form LDSS-4418); OR
- Copy of Court Order of Filiation; OR
- Birth mother documents (see above) PLUS one of the following:
  - Copy of marriage certificate; OR
  - Copy of certificate of civil union; OR
  - Copy of evidence of domestic partnership.
  - Or other documentation of parental relationship

**Foster parent:**

- Copy of letter of foster care placement or anticipated placement issued by county or city department of social services or authorized voluntary foster care agency

**Adoptive parent:**

- Copy of court document finalizing adoption; OR
- Copy of documentation in furtherance of adoption; OR
- Copy of court order finalizing adoption.

### 6. Date of foster care or adoption placement, if applicable (MM/DD/YYYY)
Employee's name (first name, middle initial, last name)

______________________________________________________________

Employee's date of birth (MM/DD/YYYY)

Bonding Certification (to be completed by the employee) - continued from prior page

Declaration and signature

Any person who knowingly and with intent to defraud any insurance company or other person files an application for insurance or statement of claim containing any materially false information, or conceals for the purpose of misleading, information concerning any fact material thereto, commits a fraudulent insurance act, which is a crime, and shall also be subject to a civil penalty not to exceed five thousand dollars and the stated value of the claim for each such violation.

I am hereby making a request for Paid Family Leave benefits under the NYS Workers' Compensation Law. My signature affirms that the information I am providing is true and accurate to the best of my knowledge and belief.

Employee's signature

Date signed (MM/DD/YYYY)

If you need assistance, please call (646) 473-9200.