

## Paid Family Leave Form

### Bond with a newborn, a newly adopted or fostered child

- Complete Form PFL-1**
  - Employee completes PFL-1, Part A.
  - Employee provides PFL-1 to employer.
  - Employer completes PFL-1, Part B.
- Complete Form PFL-2**
  - Employee completes PFL-2 and collects supporting documentation.
- Send forms and documents**
  - Employee sends completed forms and supporting documentation to employer.
  - Employer sends completed forms and supporting documentation to Plan Administrator within 3 days by electronic mail at 1199pfl@alicare.com or by facsimile at (914) 367-5374.
  - Plan Administrator accepts or denies claim within 18 days.

### Care for a family member with a serious health condition

- Complete Form PFL-1**
  - Employee completes PFL-1, Part A.
  - Employee provides PFL-1 to employer.
  - Employer completes PFL-1, Part B.
- Complete Form PFL-3**
  - Care recipient or authorized patient representative completes PFL-3 and provides to care recipient's healthcare provider.
  - Care recipient's healthcare provider keeps PFL-3.
- Complete Form PFL-4**
  - Employee completes "Employee" information at the top of PFL-4.
  - Employee provides PFL-4 to care recipient's healthcare provider.
  - Care recipient's healthcare provider completes PFL-4 and returns to employee.
- Send forms and documents**
  - Employee sends completed forms and supporting documentation to employer.
  - Employer sends completed forms and supporting documentation to Plan Administrator within 3 days by electronic mail at 1199pfl@alicare.com or by facsimile at (914) 367-5374.
  - Plan Administrator accepts or denies claim within 18 days.

### Assist family members due to another family member's active military duty or impending active duty abroad

- Complete Form PFL-1**
  - Employee completes PFL-1, Part A.
  - Employee provides PFL-1 to employer.
  - Employer completes PFL-1, Part B.
- Complete Form PFL-5**
  - Employee completes PFL-5 and collects supporting documentation.
- Send forms and documents**
  - Employee sends completed forms and supporting documentation to employer.
  - Employer sends completed forms and supporting documentation to Plan Administrator within 3 days by electronic mail at 1199pfl@alicare.com or by facsimile at (914) 367-5374.
  - Plan Administrator accepts or denies claim within 18 days.

Please keep a copy of all pages for your records.

# Request for Paid Family Leave (Form PFL-1) Instructions

- To request PFL, the employee requesting PFL must complete Part A of the *Request for Paid Family Leave (Form PFL-1)*. All items on the form are required unless noted as optional. The employee then provides the form to the employer to complete Part B.
- The employer completes Part B of the *Request for Paid Family Leave (Form PFL-1)* and returns it to the Plan Administrator within three days.
- Additional forms are required depending on the type of leave being requested. The employee requesting leave is responsible for the completion of these forms.
- The employee submits the completed *Request for Paid Family Leave (Form PFL-1)* with the required additional form and supporting documentation to the employer. The employee should retain a copy of each submitted form and supporting document for his or her records.

## PART A - EMPLOYEE INFORMATION (to be completed by the employee)

The employee requesting PFL must complete all required information.

### Paid Family Leave (PFL) Request (to be completed by the employee)

**Question 12:** A “Child” is defined as a biological, adopted, or foster son or daughter, a stepson or stepdaughter, a legal ward, a son or daughter of a domestic partner, or the person to whom the employee stands in loco parentis. A “Parent” is defined as a biological, foster or adopted parent, parent-in-law, a stepparent, a legal guardian or other person who stood in loco parentis to the employee when the employee was a child.

**Question 13:** If dates are “Continuous,” the employee must provide the start and end dates of the requested PFL. These dates should be the actual dates that the PFL will begin and end. If uncertain, estimate the start and end dates and indicate “Dates are estimated.” If dates are “Periodic,” enter the dates PFL will be taken. Please be as specific as possible. If the dates are unknown or estimated, indicate “Dates are estimated.”

If dates are estimated, the Plan Administrator may require you to submit a request for payment after the PFL day is taken. Payment for approval claims will be due as soon as possible but in no event more than 18 days from the date of the completed request.

**Question 14:** If the employee is submitting the PFL request to his or her employer with less than 30 days’ advance notice from the start date of the PFL, the employee must explain why 30 days’ notice could not be given. If the explanation will not fit in the space provided on the form, enter “See Attached” and add an attachment with the explanation. Be sure to include the employee’s full name and his or her date of birth at the top of the attachment.

### Employment Information (to be completed by the employee)

**Question 16:** Enter the date of hire to the best of the employee’s recollection. If it has been more than a year since the date of hire, entering the year in which employment started is sufficient.

**Question 18:** Enter the best estimate of average gross weekly wage. Include only the wages earned from the employer listed on this request form. **The gross weekly wage is the total weekly pay — including overtime, tips, bonuses and commissions — before any deductions are made by the employer,** such as federal and state taxes. If the employer is not able to supply this information, the employee can calculate his or her gross weekly wage as follows:

**Step 1:** Add all gross wages received (before any deductions) over the last eight weeks prior to the start of PFL, including overtime and tips earned. (See Step 3 for instructions for calculating bonuses and/or commissions.)

**Step 2:** Divide the gross wages calculated in Step 1 by eight (or the number of weeks worked if less than eight) to calculate the average weekly wage.

**Step 3:** If the employee received bonuses and/or commissions during the 52 weeks preceding PFL, add the prorated weekly amount to the average weekly wage calculated in Step 2. To determine the prorated weekly amount, add all bonuses/commissions earned in the preceding 52 weeks and then divide by 52.

#### Example of a gross weekly wage calculation:

Week 1 - Gross wage, including overtime	\$550
Week 2 - Gross wage	\$500
Week 3 - Gross wage	\$500
Week 4 - Gross wage	\$500
Week 5 - Gross wage	\$500
Week 6 - Gross wage	\$500
Week 7 - Gross wage, including overtime	\$600
Week 8 - Gross wage, including overtime	+ \$550
Total =	\$4,200
Divide by 8	÷ 8
Average Weekly Wage =	\$525
Bonus earned in preceding 52 weeks	\$2,600
Divide by 52	÷ 52
Prorated Weekly Bonus =	\$50
Average Weekly Wage	\$525
Plus Prorated Weekly Bonus	+ \$50
<b>Average Weekly Wage (including bonus) =</b>	<b>\$575</b>

Please note that the employer is also required to provide this information in Part B of the *Request for Paid Family Leave (Form PFL-1)*.

*Form PFL-1 Instructions continued on next page*

**PART A - EMPLOYEE INFORMATION (to be completed by the employee) - continued from prior page***Form PFL-1 Instructions continued from prior page*

**The 1199SEIU National Benefit Fund does not accept pre-submission of claims.** Pre-submitting is defined as submitting the application in advance of an upcoming qualifying event, with certain required information missing due to the information being unknown at the time of the submitting. The Plan Administrator will return pre-submitted Requests for Paid Family Leave within five days to the employee with an explanation that the claim should be resubmitted when all information is available.

**Employee signs and dates, before giving this form to his or her employer to complete Part B.**

**PART B - EMPLOYER INFORMATION (to be completed by the employer)**

**The employer of the employee requesting PFL must complete all information in Part B.**

**Question 2:** If a Social Security Number is used for the Federal Employer Identification Number (FEIN), enter the Social Security Number.

**Question 3:** Enter the employer's Standard Industrial Classification (SIC) Code. Contact your Plan Administrator if you don't know your SIC code.

**Question 8:** The employee occupation code can be found at: [www.bls.gov/soc/2010/soc\\_alpha.htm](http://www.bls.gov/soc/2010/soc_alpha.htm)

**Question 9:** Enter the wages earned by the employee during the last eight weeks preceding the PFL start date. The gross amount paid is the employee's gross weekly pay, including any overtime and tips earned for that week, plus the weekly prorated amount of any bonus or commission received during the preceding 52 weeks. (For detailed steps, see Question 18 on page 1 of the instructions.) Calculate the gross average weekly wage by adding up the gross amounts paid, and then divide by eight (or number of weeks worked if less than eight).

**Question 10:** "NYS Disability" refers to NYS statutory-required disability. If the answer to this question is "None," then enter a "0" for total numbers of "Weeks" and "Days" in Question 10a.

**Question 10a:** The maximum number of weeks available for NYS statutory disability and PFL in any 52-week period is 26 weeks. Specify the total number of "Weeks," as well as the number of additional "Days" if the leave includes a partial week, taken for NYS statutory disability and PFL during the preceding 52 weeks.

**Questions 12 & 13:** Enter the Paid Family Leave or Disability/PFL Plan Administrator's name, address and PFL telephone number. If this employer is self-insured, enter the name and address of where the PFL request should be submitted for processing.

**Affirmation employee is eligible for PFL:** An employee must have been in employment for at least 26 consecutive weeks.

**Employer signs and dates. Submit completed forms and supporting documentation to the Plan Administrator within three days by electronic mail to [1199pfl@alicare.com](mailto:1199pfl@alicare.com) or by facsimile to (914)367-5374.**

Be sure to complete the appropriate additional PFL form(s) based on the type of PFL leave being requested.

**Notification Pursuant to the New York Personal Privacy Protection Law (Public Officers Law Article 6-A) and the Federal Privacy Act of 1974 (5 USC 552a).**

The Workers' Compensation Board's (Board's) authority to request that employees provide personal information, including their social security number or taxpayer identification number, is derived from the Board's administrative authority under Workers' Compensation Law section 142. This information is collected to assist the Board in investigating and administering claims in the most expedient manner possible and to help it maintain accurate records. Providing your social security number or taxpayer identification number to the Board is voluntary. The Board will protect the confidentiality of all personal information in its possession, disclosing it only in furtherance of its official duties and in accordance with applicable state and federal law.





**TO BE COMPLETED BY THE EMPLOYEE**

Employee's name (first name, middle initial, last name)

\_\_\_\_\_

Employee's date of birth (MM/DD/YYYY)

/  /

**PART B - EMPLOYER INFORMATION (to be completed by the employer)**

**1. Business' full legal name and mailing address**

Business name

Street address

City, State      Zip code      Country (if not U.S.A.)

2. Employer's Federal Employer Identification Number (FEIN)  -

3. Employer's Standard Industrial Classification (SIC) Code

4. Employer's contact name for questions related to PFL \_\_\_\_\_

5. Employer's contact telephone number (  )  -

6. Employer's contact email address \_\_\_\_\_

7. Employee's date of hire (MM/DD/YYYY)  /  /

8. Employee's occupation (Codes are available at: [www.bls.gov/soc/2010/soc\\_alpha.htm](http://www.bls.gov/soc/2010/soc_alpha.htm))  -

**9. Enter the last 8 weeks of gross wages for the employee and calculate the average gross weekly wage:**

Week no.	Week ending date (MM/DD/YYYY)	Number of days	Gross amount paid
1			
2			
3			
4			
5			
6			
7			
8			
Calculated average gross weekly wage:			

*Form PFL-1 continued on next page*

**TO BE COMPLETED BY THE EMPLOYEE**

Employee's name (first name, middle initial, last name)

Employee's date of birth (MM/DD/YYYY)

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**PART B - EMPLOYER INFORMATION (to be completed by the employer) - continued from prior page**

Form PFL-1 continued from prior page

10. In the preceding 52 weeks, has the employee taken leave for:  NYS Disability  PFL  Both Disability and PFL  None

10a. Enter the total number of weeks and days taken for both Disability and PFL in the last 52 weeks:

Disability:	Weeks	Please provide specific dates for Disability:
	Days	

PFL:	Weeks	Please provide specific dates for PFL:
	Days	

11. Is the employee taking Family Medical Leave Act (FMLA) concurrently with PFL?  Yes  No

12. PFL Plan Administrator's name and mailing address

PFL Plan Administrator's name		
<b>1199SEIU National Benefit Fund for Health and Human Service Employees</b>		
Street address		
<b>330 West 42nd Street</b>		
City, State	Zip code	Country (if not U.S.A.)
<b>New York, NY</b>	<b>10036</b>	

13. PFL Plan Administrator's telephone number ( **6 4 6** ) **4 7 3** - **9 2 0 0**

**Declaration and signature**

I affirm the employee is a Wage Class I, II or III employee who is enrolled in the 1199SEIU National Benefit Fund and has been in employment for at least 26 consecutive weeks.

Any person who knowingly and with intent to defraud any insurance company or other person files an application for insurance or statement of claim containing any materially false information, or conceals for the purpose of misleading, information concerning any fact material thereto, commits a fraudulent insurance act, which is a crime, and shall also be subject to a civil penalty not to exceed five thousand dollars and the stated value of the claim for each such violation.

I am the person authorized to sign as the employer of the employee requesting Paid Family Leave benefits under the NYS Workers' Compensation Law. My signature affirms that to the best of my knowledge and belief, the information I have provided is true and accurate.

Employer's authorized signature

Date signed (MM/DD/YYYY)

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Title



# Bonding Certification (Form PFL-2) Instructions

If the employee is requesting PFL to bond with a newborn, an adopted child or a foster child, the employee must submit the Bonding Certification (Form PFL-2) with the Request for Paid Family Leave (Form PFL-1).

## BONDING CERTIFICATION (to be completed by the employee)

The employee requesting PFL must complete all applicable requested information.  
Send completed forms and supporting documentation to your employer.

Question 5: See chart below for documentation details. Unless specified, do not send the original documents.

Question 6: There may be instances where PFL can be taken before the adoption or foster care is finalized. For example, the employee may be required to appear in court or travel to another country as part of the adoption or foster care process. The employee should include documentation to show that the PFL is necessary to further the adoption or foster care.

Bonding Form/Certification	Description
Healthcare provider certification of pregnancy	An original letter obtained from the birth mother's healthcare provider that certifies pregnancy. It should include the mother's name and the expected due date.
Healthcare provider certification of birth	An original letter obtained from the birth mother's healthcare provider that includes the mother's name and child's date of birth.
Birth Certificate	A copy of the certificate issued by the city or county office in which the child is born.
Voluntary Acknowledgment of Paternity (Form LDSS-4418)	A copy of the form that establishes legal fatherhood when the parents are unmarried. Completed by both mother and father. For more information, see <a href="http://childsupport.ny.gov/dcse/aop_howto.html">childsupport.ny.gov/dcse/aop_howto.html</a>
Court Order of Filiation	A copy of the order from the family court that names the father of a child. Establishes legal fatherhood when the parents are unmarried. Completed by both mother and father. For more information, visit <a href="http://childsupport.ny.gov/dcse/aop_howto.html">childsupport.ny.gov/dcse/aop_howto.html</a>
Marriage Certificate	A copy of the official statement issued by the town or city clerk from which the marriage certificate was issued.
Civil union/domestic partnership documentation	A copy of the certificate of civil union or domestic partnership.
Foster care placement letter	A copy of the letter of foster care placement issued by the county or city department of social services or authorized voluntary foster care agency.
Court documents of adoption	A copy of the court document finalizing adoption or documentation in furtherance of adoption or court order finalizing adoption.
Other documentation	Other documentation of parental relationship may be accepted if none of the above listed apply.

### Notification Pursuant to the New York Personal Privacy Protection Law (Public Officers Law Article 6-A) and the Federal Privacy Act of 1974 (5 USC 552a).

The Workers' Compensation Board's (Board's) authority to request that employees provide personal information, including their social security number or taxpayer identification number, is derived from the Board's administrative authority under Workers' Compensation Law section 142. This information is collected to assist the Board in investigating and administering claims in the most expedient manner possible and to help it maintain accurate records. Providing your social security number or taxpayer identification number to the Board is voluntary. The Board will protect the confidentiality of all personal information in its possession, disclosing it only in furtherance of its official duties and in accordance with applicable state and federal law.





# Request for Paid Family Leave (Form PFL-2)

INSTRUCTIONS INCLUDED WITH FORM

### TO BE COMPLETED BY THE EMPLOYEE

Employee's name (first name, middle initial, last name)

Employee's date of birth (MM/DD/YYYY)

\_\_\_\_ / \_\_\_\_ / \_\_\_\_\_

Other last names, if any, under which employee has worked

Employee's Social Security Number or Taxpayer Identification Number (TIN)

\_\_\_\_ / \_\_\_\_ / \_\_\_\_\_

Employee's mailing address

Street address

City, State

Zip code

Country (if not U.S.A.)

### BONDING CERTIFICATION (to be completed by the employee)

1. Child's date of birth (MM/DD/YYYY)

\_\_\_\_ / \_\_\_\_ / \_\_\_\_\_

2. Child's gender  Male  Female  Not designated/Other

3. Does child live with the employee requesting PFL?  Yes  No

4. Child is employee's:

- Biological child
- Stepchild
- Foster child
- Adopted child
- Legal ward
- Spouse/Domestic partner's child
- In loco parentis

5. Select one of the following and attach the document as required as evidence of the relationship.

Parent of newborn child:

Birth mother:

- Healthcare provider certification of pregnancy (include expected due date AND mother's name); OR
- Healthcare provider certification of birth (include date of birth of child AND mother's name); OR
- Child's birth certificate

Other parent:

- Copy of birth certificate naming second parent; OR
- Copy of Voluntary Acknowledgment of Paternity (Form LDSS-4418); OR
- Copy of Court Order of Filiation; OR
- Birth mother documents (see above) PLUS one of the following:
  - Copy of marriage certificate; OR
  - Copy of certificate of civil union; OR
  - Copy of evidence of domestic partnership.
- Or other documentation of parental relationship

Foster parent:

- Copy of letter of foster care placement or anticipated placement issued by county or city department of social services or authorized voluntary foster care agency

Adoptive parent:

- Copy of court document finalizing adoption; OR
- Copy of documentation in furtherance of adoption; OR
- Copy of court order finalizing adoption.

6. Date of foster care or adoption placement, if applicable (MM/DD/YYYY)

\_\_\_\_ / \_\_\_\_ / \_\_\_\_\_

Form PFL-2 continued on next page



**TO BE COMPLETED BY THE EMPLOYEE**

Employee's name (first name, middle initial, last name)

Employee's date of birth (MM/DD/YYYY)

<input type="text"/>	<input type="text"/>	/	<input type="text"/>	<input type="text"/>	/	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
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**BONDING CERTIFICATION (to be completed by the employee) - continued from prior page**

*Form PFL-2 continued from prior page*

Declaration and signature

Any person who knowingly and with intent to defraud any insurance company or other person files an application for insurance or statement of claim containing any materially false information, or conceals for the purpose of misleading, information concerning any fact material thereto, commits a fraudulent insurance act, which is a crime, and shall also be subject to a civil penalty not to exceed five thousand dollars and the stated value of the claim for each such violation.

I am hereby making a request for Paid Family Leave benefits under the NYS Workers' Compensation Law. My signature affirms that the information I am providing is true and accurate to the best of my knowledge and belief.

Employee's signature

Date signed (MM/DD/YYYY)

<input type="text"/>	<input type="text"/>	/	<input type="text"/>	<input type="text"/>	/	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
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